

# M. S. N. SECURITIES PVT. LTD.

108 Veena Chambers, Dalal Street, Fort, Mumbai – 400 001  
Tel.: 6635 6300 / 2270 3502 \* Telefax: 2270 3503 \* E-mail: [msn@msnsecurities.com](mailto:msn@msnsecurities.com)

## PLEDGE REQUEST FORM (PRF)

Annexure 8.1

Setup of Pledge     Confirmation of Pledge

Please fill all the details in **Block Letters** in English

|         |  |      |   |   |   |   |   |   |   |   |
|---------|--|------|---|---|---|---|---|---|---|---|
| PRF No. |  | Date | D | D | M | M | Y | Y | Y | Y |
|---------|--|------|---|---|---|---|---|---|---|---|

I/We request you to **create the pledge / confirm the creation of pledge** for the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

| Pledgor's Details |                |  |  |  |  |  |  |  |  |           |
|-------------------|----------------|--|--|--|--|--|--|--|--|-----------|
| DP ID             |                |  |  |  |  |  |  |  |  | Client ID |
| Pledgor's Name    | 1.<br>2.<br>3. |  |  |  |  |  |  |  |  |           |

| Pledgee's Details |                |  |  |  |  |  |  |  |  |           |
|-------------------|----------------|--|--|--|--|--|--|--|--|-----------|
| DP ID             |                |  |  |  |  |  |  |  |  | Client ID |
| Pledgee's Name    | 1.<br>2.<br>3. |  |  |  |  |  |  |  |  |           |

|  |
|--|
| <b>Details of Securities:</b> <input type="checkbox"/> Free Securities <input type="checkbox"/> Locked-in Securities |
|--|

| Details of Securities offered for Pledge. |      |              |          |                           |                        |                                |               |
|---|------|--------------|----------|---------------------------|------------------------|--------------------------------|---------------|
| Sr. no                                    | ISIN | Company Name | Quantity | Date of Release (lock-in) | PSN (System Generated) | Accepted / Rejected by Pledgee | Pledged value |
|   |      |              |          |                           |                        |                                |               |
|   |      |              |          |                           |                        |                                |               |
|   |      |              |          |                           |                        |                                |               |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

|  |   |  |   |   |   |   |   |   |
|--|---|--|---|---|---|---|---|---|
| <b>Pledge Execution Date</b>                             | D   | D  | M   | M   | Y   | Y | Y | Y |
| Pledge Expiry Date                                       | D   | D  | M   | M   | Y   | Y | Y | Y |
| Total Pledge Value (Rs.)                                 |   |  |   |   |   |   |   |   |
| Agreement No.  |   |  |   |   |   |   |   |   |
| Date of Pledging   | D   | D  | M   | M   | Y   | Y | Y | Y |
| <b>Pledge Reason [tick mark whichever is applicable]</b> | <b>Collateral -Debt I issuance by Co./Grp Co.</b><br><input type="checkbox"/> | <b>Collateral for loan by Company/Gro up C</b><br><input type="checkbox"/> | <b>Collateral for loan by the Third Party</b><br><input type="checkbox"/> | <b>Margin Pledge/MTF for Exchange Trade</b><br><input type="checkbox"/> | <b>Personal use by promoters and PACs</b><br><input type="checkbox"/> |   |   |   |
| <b>PAN of the Ultimate Lender :</b>                      |   |  |   |   |   |   |   |   |

Note : As a pledgor and pledgee, We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

|  |
|--|
| <b>To be filled and signed in case of Set-up of Pledge by Pledgor BO</b> |
|--|

| Signature of the Pledgor |                  |                 |
|--------------------------|------------------|-----------------|
| First/ Sole Applicant    | Second Applicant | Third Applicant |
|                          |                  |                 |

|   |
|---|
| <b>To be filled in case of acceptance of Pledge by Pledgee BO</b> |
|---|

Signature of Pledgee(s) \*

| First Holder | Second Holder | Third Holder |
|--------------|---------------|--------------|
|              |               |              |

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

**Depository Participant Seal and Signature**

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Annexure 8.2

## Unpledge Request Form (URF)

Unpledge by Pledgor       Unpledge by pledgee

|   |
|---|
| Depository Participant Name /Address/ DP ID |
|---|

Please fill all the details in **Block Letters** in English

|         |  |      |   |   |   |   |   |   |   |   |
|---------|--|------|---|---|---|---|---|---|---|---|
| URF No. |  | Date | D | D | M | M | Y | Y | Y | Y |
|---------|--|------|---|---|---|---|---|---|---|---|

I/We request you to set up an **Unpledge** request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws t as are in force from time to time for such unpledge requests.

| Pledgor's Details |    |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|-------------------|----|--|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|
| DP ID             |    |  |  |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |
| Pledgor's Name    | 1. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|                   | 2. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|                   | 3. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |

| Pledgee's Details |    |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|-------------------|----|--|--|--|--|--|--|--|--|--|--|-----------|--|--|--|--|--|--|--|
| DP ID             |    |  |  |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |
| Pledgee's Name    | 1. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|                   | 2. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |
|                   | 3. |  |  |  |  |  |  |  |  |  |  |           |  |  |  |  |  |  |  |

|                    |   |   |   |   |   |   |   |   |
|--------------------|---|---|---|---|---|---|---|---|
| Date of Unpledging | D | D | M | M | Y | Y | Y | Y |
|--------------------|---|---|---|---|---|---|---|---|

| Sr. No | PSN | ISIN | Name of the Security | Total Quantity pledged | Quantity to be unpledged | Accepted / rejected by Pledgee |
|--------|-----|------|----------------------|------------------------|--------------------------|--------------------------------|
|        |     |      |                      |                        |                          |                                |
|        |     |      |                      |                        |                          |                                |
|        |     |      |                      |                        |                          |                                |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

|  |
|--|
| <b>The unpledge request is being set up for the reasons mentioned hereunder: -</b> |
|  |

I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

|  |
|--|
| <b>To be filled and signed in case of Set-up of Unpledge by Pledgee BO</b> |
|--|

| Signature of the Pledgee |                  |                 |
|--------------------------|------------------|-----------------|
| First/ Sole Applicant    | Second Applicant | Third Applicant |
|                          |                  |                 |

|  |
|--|
| <b>To be filled and signed in case of Set-up of unpledge by Pledgor BO</b> |
|--|

| Signature of the Pledgor |                  |                 |
|--------------------------|------------------|-----------------|
| First / Sole Applicant   | Second Applicant | Third Applicant |
|                          |                  |                 |

Depository Participant seal and signature